


Resolute Systems, LLC
Dispute Resolution Worldwide
MICHAEL STEINBERG, ESQ.
Glenview, Illinois

PROFESSIONAL HISTORY:

January 2017 – present: Independent investment research and consulting.

July 1987 – December 2016: Retail broker and analyst associated with an investment banking firm under independent contract.

Literary representation of authors. (1980-current)

Editor of an investment letter (12/87 -3/90).

Arbitrator for NASD (FINRA) and Circuit Court of Cook County. (1990-current)

Lecturer for state mandated insurance pre-licensing education and NASD series 6 and 65. (1988-1996)

Compliance auditor for brokerage firm.

August 1985 - July 1987: Retail broker and investment analyst with a full service brokerage.

February 1978 - December 1986: Sole practitioner engaged in criminal defense, matrimonial law and author representation.

April 1977 - February 1978: Reading and writing sabbatical in New Hampshire.

September 1971 - April 1977: Sole practitioner engaged in general civil and criminal litigation. Hearing Officer for the Illinois Pollution Control Board under independent contract. (5/72-4/77)
Pro-Bono Director and staff attorney for a legal aid clinic. (6/72-10/73)

EDUCATION:

University of Illinois College of Law
Champaign, Illinois
Degree: J.D. 1971

University of Rochester
Rochester, New York
Degree: B.A. 1968 Majors: English and History
Minor: Pre-Medical Sciences

ADMISSIONS TO PRACTICE:

1994 - NASD Series 65 (Investment Adviser) lapsed
1990 - NFA Series 3 (Commodity Trading Adviser) lapsed
1988 - NASD Series 24 (Registered Securities Principal)
1988 - NASD Series 4 (Registered Options Principal)
1987 - Illinois Life, Accident, Health Insurance
1987 - NASD Series 63 (Blue Sky)
1987 - SEC (Registered Investment Adviser)lapsed
1985 - NASD Series 7 (Registered Representative)
1979 - Illinois Real Estate Brokerage
1977 - New Hampshire Supreme Court (voluntarily withdrawn)
1973 - Florida Supreme Court (voluntarily withdrawn)
1971 - Illinois Supreme Court
1971 - Federal District Court for Northern Illinois

ASSOCIATIONS:

Chicago Bar Association (active)
New Hampshire Bar Association (inactive)

COMMUNITY ACTIVITIES:

Glencoe Library Trustee (1989-1993)
Volunteer leader for Junior Great Books program at Glencoe Central School (1987-1990)

PUBLICATIONS:

NYIF Guide to Investing 3rd ed. (Prentice Hall) June 2000